



2611 #5

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number	09/819,441
Filing Date	MARCH 28, 2001
First Named Inventor	LALITHA AGNIHOTRI ET AL.
Group Art Unit	2611
Examiner Name	
Attorney Docket Number	US 010107

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☒ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	GREGORY L. THORNE	Registration No. (Attorney/Agent)	39,398
Signature		Date	8/28/02

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : _____ on the date below:

Name (Print Type)	NOEMI CHAPA	Date	8/29/02
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US 010107		Serial No. 09/819,441	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant LALITHA AGNIHOTRI ET AL.			
		Filing Date 3/28/01		Group 2611	

U.S. PATENT DOCUMENTS													
Ex. Int.		Document Number							Date	Name	Class	Sub-class	Filing Date If Approp.
	AA	6	1	1	8	8	8	8	9/12/00	CHINO ET AL.	382	118	
	AB	5	5	9	4	4	6	9	1/14/97	FREEMAN ET AL.	345	158	
	AC												
	AD												
	AE												
	AF												

FOREIGN PATENT DOCUMENTS															
		Document Number							Date	Country	Class	Sub-class	Trans.		
														Yes	No
	AG														
	AH														
	AI														
	AJ														
	AK														

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)		
AL	Smyth et al., "Surfing The Digital Wave Generating Personalised TV Listings Using Collaborative Case-Based Reasoning Research And Development. International Conference, July 27, 1999, pages 562-571	
AM		
AN		

Examiner	Date Considered
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.